

# **City of New London**

Department of Finance-Purchasing Agent 13 Masonic Street • New London, CT 06320 • Phone (860) 447-5215 • Fax (860) 447-5297

# Request for Proposals/Qualifications Specifications and Proposal Documents Attached

**Proposal No.: 2023-03** 

Opening Date and Time: November 29, 2022 at 2:00 P.M.

**Finance Conference Room** 

Title: Broadband Feasibility Study

#### **Special Instructions:**

The following information must appear in the lower left hand corner of the envelope:

Sealed Proposal No.: 2023-03 Broadband Feasibility Study

Not to be opened until November 29, 2022 at 2:00 P.M.

#### **Return Proposal to:**

Joshua Montague, Accounting/Purchasing Agent City of New London 13 Masonic Street New London, CT 06320

Proposal cannot be accepted after the Proposal Opening Date and Time indicated above.



Date Issued:

# **City of New London**

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## PLEASE RETURN THIS FORM IMMEDIATELY

Acknowledgement: Receipt of Request for Proposals/Qualifications

Proposal No.: 2023-03 Broadband Feasibility Study

Please take a moment to acknowledge receipt of the attached documents. Your compliance with this request will help us to maintain proper follow-up procedures and will ensure that you receive any addendum that may be issued.

Date documents receiv	ved:	/	
Do you plan to submit a response?		Yes	No
Print or type the follow	ing information:		
Company Name:			
Address:			
Telephone:			Fax:
E-mail:			
Received by:			

Note: E-mailed acknowledgements are requested. E-mail: jmontague@newlondonct.org

Fax this sheet only. A cover sheet is not required.



# $City \ of \ \stackrel{\text{2023-03 Broadband Feasibility Study}}{New \ London}$

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#### Standard Request for Proposals/Qualifications (RFP/Q) and Contract Terms and Conditions

All Requests for Proposals issued by the City of New London (City) will bind Proposers to the terms and conditions listed below, unless specified otherwise in any individual Request for Proposals.

Incorporated by reference into this contract are the provisions of Article IV., Section 2-66 through 2-71 and Section 2-73 of the Code of Ordinances of the City of New London.

The contractor agrees to comply with the Code of Ordinances as they exist on the date of the contract and as they may be adopted or amended from time to time during the term of this contract and any amendments thereto.

#### **Submission of Proposals**

- 1. Proposals must be submitted on forms supplied by the City of New London or in the format requested in the specifications. Telephone, facsimile or e-mail proposals will not be accepted in response to a Request for Proposals. An original and one (1) copy, unless otherwise indicated in the specifications, of the Proposal Form shall be returned to the Purchasing Agent.
- 2. The date and time proposals are to be opened are given in each Request for Proposals issued. Proposals received after the specified date and time of the proposal opening given in each Request for Proposals will not be considered. Proposal envelopes must clearly indicate the proposal number as well as the date and time of the proposal opening. The name and address of the proposer should appear in the upper left-hand corner of the envelope.
- 3. Incomplete proposal forms may result in the rejection of the proposal. Amendments to proposals received by the Purchasing Agent after the date and time specified for the proposal opening shall not be considered. Proposals shall be computer prepared, typewritten or handwritten in ink. Proposals submitted in pencil shall be rejected. A person duly authorized to sign proposals for the proposer shall sign all proposals. Unsigned proposals shall be rejected. The person signing the Proposal Form or their authorized designee must initial errors, alterations or corrections on both the original and copy of the Proposal Form to be returned. In the event an authorized designee initials a correction, there must be written authorization from the person signing the Proposal Form to the person initialing the erasure, alterations or corrections. Failure to do so shall result in rejection of the proposal for those items erased, altered or corrected and not initialed.
- 4. Conditional proposals are subject to rejection in whole or in part. A conditional proposal is defined as one that limits, modifies, expands or supplements any of the terms and conditions and/or specifications of the Request for Proposals.
- 5. Alternate proposals will not be considered. An alternate Proposal is defined as one that is submitted in addition to the proposer's primary response to the Request for Proposals.
- 6. Prices should be extended in decimal, not fraction, to be net and shall include delivery and transportation charges fully prepaid by the Contractor to the destination specified in the Request for Proposals and subject only to cash discount.
- 7. Pursuant to Sections 12-412 and 12-412(1) of the Connecticut General Statutes, the City of New London is exempt from the payment of excise, transportation and sales taxes imposed by the Federal Government and/or the State. Such taxes must not be included in the proposal prices.
- 8. In the event of a discrepancy between the unit price and the extension, the unit price shall govern.

- 9. By its submission the Proposer represents that the proposal is not made in connection with any other Proposer submitting a proposal for the same commodity or commodities and is in all respects fair and without collusion or fraud.
- 10. All proposals will be opened and read publicly and upon award are subject to public inspection.

#### **Guaranty or Surety**

11. Bid bonds, performance bonds, and labor and material bonds will be required as specified below. Guaranty or surety may be in the form of certified check. Bonds must meet the following requirements: Corporation – must be signed by an official of the corporation above their official title and the corporate seal must be affixed over the signature; Firm or Partnership - must be signed by all of the partners and indicate they are "doing business as"; Individual – must be signed by the owner and indicated as "Owner". The surety company executing the bond or countersigning must be licensed in Connecticut and an official of the surety company must sign the bond with the corporate seal affixed over the signature. Signatures of two (2) witnesses for both the principal and the surety must appear on the bond. Power of attorney for the official signing the bond for the surety company must be submitted with the bond.

**Bid Bond** – Ten percent (10%) due at time of bid for all contract services and public works/construction projects that exceed twenty thousand dollars (\$20,000.00)

**Performance Bond** – One hundred percent (100%) of contract price for projects that exceed fifty thousand dollars (\$50,000.00).

**Labor and Material Payment Bonds** – One hundred percent (100%) of contract price for projects that exceed fifty thousand dollars (\$50,000.00).

#### **Award**

- 12. Award of this contract will be made to the lowest responsible, qualified proposer and will be based on net cost and City specifications. The City of New London reserves the right to reject any and all bids or parts thereof, to waive any informality and to award this contract to other than the low proposer and to make multiple awards if deemed in the best interest of the City.
- 13. The City of New London allows a fifteen percent (15%) local vendor preference. A New London based business will be considered the lowest responsible proposer if it's proposal is within fifteen percent (15%) of the low proposer and it is willing to accept the award at the low proposal price. Any proposer claiming to be a New London based business must provide documentation that all of its motor vehicles are registered in New London and that payment of all of its property and motor vehicle taxes are current.
- 14. The City of New London may reject any bidder in default of any prior contract or guilty of misrepresentation or any bidder with a member of its firm in default or guilty of misrepresentation.
- 15. The Purchasing Agent may correct inaccurate awards resulting from clerical or administrative errors.

#### Other Requirements

16. The City has an employment preference goal that jobs be offered to local residents on public prevailing wage projects with thresholds great than \$100,000 for renovation and \$400,000 for new construction. For such projects, it is understood that contractors shall make a good faith effort to employ a participating workforce comprised of twenty-five (25%) local residents of New London County, CT, with residents of the City of New London, CT getting a priority representing fifty percent (50%) of said participation, which will include twenty-five percent (25%) female and minority.

17. The City has an employee training preference goal that apprenticeship opportunities be made available for state licensed trades on public prevailing wage projects with thresholds greater than \$100,000 for renovation and \$400,000 for new construction. For such projects, it is understood that contractors shall make a good faith effort to employ a minimum of ten percent (10%) of the workforce per state licensed trade as apprentices and, of this number, a minimum of fifty percent (50) shall be in the first year of apprenticeship training.

#### Contract

- 18. The existence of a contract shall be determined in accordance with the requirements set forth above.
- 19. The Contractor shall not assign or otherwise dispose its contract or its right, title or interest, or its power to execute such contract to any other person, firm or corporation without the prior written consent of the City of New London.
- 20. Failure of a Contractor to deliver commodities or perform services as specified will constitute authority for the City of New London to purchase these commodities or services on the open market. The contractor agrees to promptly reimburse the City of New London for excess cost of these purchases. The purchases will be deducted from the contracted quantities.
- 21. The Contractor agrees to hold the City of New London harmless from liability of any kind for the use of any copyrighted or uncopyrighted composition, secret process, patented or unpatented invention furnished or used in the performance of the Contract; Guarantee its products against defective material or workmanship; repair damages of any kind, for which it is responsible to the premises or equipment, to its own work or to the work of other contractors; obtain and pay for all licenses, permits, fees etc., and to give all notices and comply with all requirements of the City of New London, the State of Connecticut and the U.S. Government.
- 22. Insurance requirements generally apply to contract services, professional services and public works improvement/construction projects. The Contractor will carry commercial general liability insurance to protect the City of New London from loss. The following minimum limits shall be met:

**Bodily Injury and Property Damage** – One million dollars (\$1,000,000.00) each occurrence; two million dollars (\$2,000,000.00) aggregate

**Products or Completed Operations** - One million dollars (\$1,000,000.00) each occurrence; two million dollars (\$2,000,000.00) aggregate

Professional Liability (Errors and Omissions) Coverage appropriate to the contractor's operations – Two million dollars (\$2,000,000.00) each occurrence

Commercial Automobile Coverage including owned, non-owned, leased and hired vehicles (if used on City of New London property) – One million dollars (\$1,000,000.00) combined single limit for each accident.

**Workers' Compensation Coverage -** Will be in accordance with State of Connecticut requirements at the time of Proposal.

Any deductible or self-insured retention must be disclosed and any claim payments falling within the deductible shall be the responsibility of the contractor.

The Contractor shall require all subcontractors to carry the same forms and minimum coverages that it is required to provide. Evidence of these coverages must be provided to the City of New London Purchasing Agent prior to the contractor or subcontractor coming onto the work site.

All insurance policies shall be endorsed to the City of New London, its officers and employees as additional insured, and shall not be reduced or cancelled without thirty (30) days prior written notice to the Purchasing Agent. In addition, the contractor's insurance shall be primary as respects the City of New London, and any other

insurance maintained by the City of New London shall be excess and not contributing insurance with the contractor's insurance.

23. Notwithstanding any provision or language in this contract to the contrary, the Mayor may, without cause, terminate this contract for the City's convenience upon approval by the City Council, whenever he/she determines that such termination is in the best interest of the City of New London. Any such termination shall be effected by delivery to the Contractor of a written notice of termination. The notice of termination shall be sent by registered mail to the Contractor address furnished to the City of New London for purposes of correspondence or by hand delivery. Upon receipt of such notice, the Contractor shall both Immediately discontinue all services affected (unless the notice directs otherwise) and deliver to the City of New London all data, drawings, specifications, reports, estimates, summaries, and such other information and materials as may have been accumulated by the Contractor in performing its duties under this contract, whether completed or in progress. All such documents, information and materials shall become the property of the City of New London. In the event of such termination, the contractor shall be entitled to reasonable compensation as determined by the Mayor, however, no compensation for lost profits shall be allowed.

#### **Delivery**

- 24. All products and equipment delivered must be new unless otherwise stated in the proposal specifications.
- 25. All deliveries will be to the locations specified by the City of New London. The City of New London does not have a loading dock therefore all Contractors will be responsible for inside delivery without assistance from City of New London personnel.
- 26. Payment terms are net 45 days after receipt of goods or invoice, whichever is later, unless otherwise specified.
- 27. Charges against a Contractor shall be deducted from current obligations. Money paid to the City of New London shall be payable to the Treasurer, City of New London.

#### **Saving Clause**

28. The Contractor shall not be liable for losses or delays in the fulfillment of the terms of the contract due to wars, acts of public enemies, strikes, fires, floods, acts of God or any other acts not within the control of or reasonably prevented by the Contractor. The contractor will give written notice of the cause and probable duration of any such delay.

#### **Advertising**

29. Contractors may not reference sales to the City of New London for advertising and promotional purposes without prior approval of the City of New London.

#### **Rights**

- 30. The City of New London has sole and exclusive right and title to all printed material produced for the City of New London and the Contractor shall not copyright the printed matter produced under this contract.
- 31. The Contractor assigns to the City of New London all rights, title and interests in and to all causes of action it may have under Section 4 of the Clayton Act, 15 USC 15, or under Chapter 624 of the Connecticut General Statutes. This assignment occurs when the contractor is awarded the contract.
- 32. The Contractor agrees that it is in compliance with all applicable federal, state and local regulations, including but not limited to Connecticut General Statutes Section 7-148i. The Contractor also agrees that it will hold the City of New London harmless and indemnify the City of New London from any action which may arise out of any act by the Contractor concerning lack of compliance with these laws and regulations. All purchases will be in compliance with Sections 22a-194 to 22a-194g of the Connecticut General Statutes related to product packaging.

33. This contract is subject to the provisions of Executive Order Number Three of Governor Thomas J. Meskill promulgated June 16, 1971, the provision of Executive Order Number Seventeen of Governor Thomas J. Meskill promulgated February 15, 1973 and Section 16 of Public Act 91-58, nondiscrimination regarding sexual orientation, and the provisions of Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999 regarding Violence in the Workplace Prevention Policy.



# REQUEST FOR PROPOSAL(RFP)

# **Broadband Feasibility Study** 2023-03

The City of New London, Connecticut is seeking proposals from qualified firms to conduct a feasibility study for a broadband fiber-to-the-premises network.

Proposals are due no later than 2:00 p.m. eastern time on November 29, 2022

#### GENERAL CONDITIONS

#### 1. Description

The City of New London, Connecticut ("City") is soliciting proposals from qualified firms to determine the need, feasibility, and justification for providing affordable broadband high-speed internet access for New London residents and businesses. The City considers a modern digital infrastructure to be a critical component of a competitive city of the future and wishes to ensure that it is well-positioned to meet the current and future needs of its residents, businesses, and anchor institutions. The service territory of this network will include every home and business within the city limits of New London. The network should be capable of providing a high-speed internet service.

The desired outcome of this planning effort is to provide a tool for the City to establish if New London residents and businesses have a need and want this service, determine a successful deployment strategy and the associated cost to implement fiber to the premises ("FTTP") within the City (or any other viable option comparable to fiber – hereby just referred to as FTTP)), and assess whether such project will be sufficiently supported by customer rates to justify the investment in this infrastructure.

#### 2. Background

The City of New London has identified broadband as a key priority and wishes to do its due diligence by examining various ways to improve broadband connectivity in the community. Several acceptable pathways to achieving this goal have been identified:

- a) A municipal-owned and operated fiber-to-the-premises (FTTP) network; or any other viable option comparable to fiber.
- b) A public-private partnership with a private provider whereby the City builds the infrastructure and leases fiber to the private provider to operate a FTTP network providing high-speed internet service to city residents and businesses at a reasonable price;
- An open-access network whereby the provider builds the infrastructure and leases fiber or poles to any number of private providers wishing to operate a FTTP network providing high-speed internet services to city residents and businesses;
- d) Any combination of public and private ownership that serves the best interests of New London and its citizens; or
- e) Continue to allow private providers to deliver internet services to New London residents.
- f) Allow for the possibility of internet via wi-fi on downtown streets and city parks

The City of New London is the largest city in southeastern Connecticut Other facts about New London include the following:

• Estimated 2021 Census Population: 27,400

• Estimated Households: 10,991

- Estimated Household Size: 2.11
- Estimated Total area within City limits: 5.62 square miles

City staff will cooperate with the selected consultant to provide any additional technical or background information that may be necessary to conduct the feasibility study.

#### **SCOPE OF SERVICES**

#### 3. Internet Speed survey, Network Design, and Cost Estimate

The consultant will send out a survey to residents to determine the following factors: speed, reliability, cost, and internet options available. The consultant will use this data to determine whether it is feasible for the city to provide an alternative internet solution to its citizens. It is expected that the consultant can reach all citizens in this survey. The consultant will provide various outreach methods to make sure it is reaching all demographics.

If it is proven that the city should pursue a FTTP project, the consultant will provide a network design and a cost estimate of sufficient depth to ensure that budgets are within a tolerance of +/-10% of actual bids and will prepare design for its completion should City of New London proceed to detailed design. A business case will be developed with outputs from the design/costing and revenue analysis for services. The consultant will provide an exploration of business model alternatives that may be considered (a minimum of three business models), alternatives for financing the network build, an examination of legal and regulatory considerations, and a statistically valid citizen survey as well as a business survey.

The consultant will include a network design for a FTTP network encompassing all fiber required for global coverage across the service territory. Transport network interconnects, including those required for failover/redundancy must be included and costed for each service layer (e.g., Internet peering and connections to shared voice services.)

#### **NETWORK DESIGN OBJECTIVES**

The network design and plan must accommodate the City's Broadband Network requirements, which include but are not limited to the following:

- The network must have the ability to provide Internet services, of at least 1 Gbps to every customer in the coverage area, although not all residents or businesses may choose to connect.
- The network should be expandable in a manner as efficient and effective as possible to increase capacity and to accommodate advances in technology as may reasonably be expected to become available over the life of the network (at least 20 years). This means, at a minimum, a sufficient number of spare fiber strands in the backbone and distribution routes, as well as enough expansion slots in electronics cabinets to accommodate expansion cards for all future subscribers.
- The network and its construction must adhere to all current and generally accepted technical standards, building codes, construction practices, and other regulations, specifications, and standards as may apply in the broadband networking industry. Also, all federal, state, and local laws, regulations, and codes applicable to the network, its construction, and its operation and services must be observed.
- The network design should allow for connection to a potential 30,000 residents, any businesses in the area, and any potential visitors and cover the entire City of New London.
- The network must provide high-speed data connection to the internet as well as additional services.
- The network is not expected to provide traditional Cable TV service although the system must be fully and robustly capable of accommodating current-state Internet Protocol Television (IPTV) and other internet-based video services, including interactive video services.
- Where there are technical or architectural design alternatives, and where there are multiple types, brands, or models of equipment, the design consultant will be expected to identify all options and present to the City of New London a reliable and understandable explanation of each, along with an explanation of tradeoffs in terms of functions, features, costs, and other pros and cons. There will likely be coordination with potential partners and other vendors on specific aspects of the design, however the Engineering Design Firm will be tasked with ensuring that choices related to those details are not detrimental to future adaptation or use by other partners.

#### 4. Business Case

Utilizing the market data derived by the consultant, internet speed survey of the community, and cost estimate of the network, the feasibility study will provide a business case and deployment plan for New London to directly provide broadband services to the community. The business case should include, but not be limited to, the following:

- a) Annual cost of operations each year for the first ten years, including monthly data for the first three years. Account for all costs of operations, including but not limited to:
  - i. Debt service based on different financing models;
  - ii. Operational costs, including bandwidth, voice switching, and other costs related to the provision of retail services;
  - iii. Personnel costs, including a description of the human resources needed to operate a successful broadband company;
  - iv. Billing (OSS/BSS), CRM, and other required systems;
  - v. Depreciation and equipment replacement.
- b) Cash flow analysis based on various take rates for retail services at different price points.
- c) Applicable financial ratios.
- d) Price research and modeling
- e) Data should be provided in both written form and electronically in the form of one or more Excel spreadsheets.

#### 5. Business Model Alternatives

While this RFP is designed to survey residents, and produce a design, cost estimate, and business model for a city-owned and operated fiber network, New London is also interested in other operational and ownership models, including a public-private partnership, and an open-access network, whereby the city's infrastructure is leased to one or more internet service providers (ISPs). The feasibility study should include general information about these alternate business models, including a discussion of the advantages and disadvantages of these alternate models versus a city-owned and operated network. A forecast of penetration and adoption rates, foreseeable completion, and pricing comparisons should be included for all models presented.

#### 6. Financing

The study will provide New London with a detailed discussion of available financing options for network construction and deployment. Such options may include, but are not limited to:

- a) General Obligation (GO)Bonds;
- b) Telecommunications Revenue Bonds; or
- c) Other financial methods as recommended; and
- d) Opportunities for federal or state grants.

The proposal shall include costs to update the feasibility study and business case after a financing plan is put in place so that New London has an "as-built" business case to work from.

#### 7. Citizen and Business Survey

In order to understand the likely market for broadband, a series of surveys are requested as part of the RFP:

- a) A statistically valid residential survey;
- b) An online residential survey that will provide additional data points related to sentiments as to how residents generally feel about the current broadband services that are available;
- c) An online commercial/industrial business survey that will provide additional data points related to sentiments as to how commercial/industrial businesses feel about the current broadband services.

#### 8. Speed Test

An online speed test is to be included in the proposal for the purposes of assisting with obtaining a qualitative analysis of the existing ISP networks currently available in the market. Specifically, the speed test should provide information to determine if the speeds delivered by the ISPs are at or below the advertised speeds.

#### 9. Stakeholder Interviews

A minimum of twenty (20) stakeholder interviews are to be conducted with key businesses/industries, or quasi-governmental entities such as the local school district, and other major and minor businesses, that rely heavily on broadband services.

#### 10. Pricing Research

Conduct research to determine the current prices of existing service providers.

#### 11. Optional Analysis

Responses to this RFP may include additional analysis as part of the proposal as optional add-

ons, such as, but not limited to, a GAP analysis to determine how the existing broadband services in the city compare with broadband services elsewhere. Each suggested or option analysis is to include a price separate from the overall price of the feasibility study.

#### **QUALIFICATIONS**

#### 12. Experience and Approach

Proposing firm will demonstrate, at minimum, the following qualifications:

- a) Demonstrated industry experience in network planning, engineering, and business development.
- b) Demonstrated experience conducting FTTP feasibility studies of similar size and scope. Please provide at least three examples of FTTP feasibility studies that your firm has conducted and contact information for these clients.
- c) Demonstrated experience with successful FTTP deployments by municipal fiber broadband providers. Please provide at least one example of a FTTP feasibility study conducted by your firm that led to a successful municipal FTTP project and contact information for this client.
- d) Experience with and knowledge of the legal and regulatory environment in the State of Connecticut.
- e) Extensive financial planning experience with municipal broadband providers.
- f) Demonstrated experience with the completion of past projects on schedule and on budget.

#### PROPOSAL SUBMISSION

#### 13. Format and Content

To standardize responses and simplify the comparison and evaluation of responses, all proposals must be submitted in an organized manner as set forth below. All information and materials requested shall be provided in the proposal under a single cover, containing two (2) separated envelopes: 1) the detailed proposal, and 2) the detailed bid. If an award is made, the City expects the project to be completed within a three (3) to six (6) month timeframe. Each envelope must clearly be marked with the words "2023-03 Broadband Feasibility Study" in the lower left-hand corner.

The proposer's response to this RFP will include, at minimum, the following elements IN THE FOLLOWING ORDER:

#### a) Envelope 1:

- i. A detailed response to each element of the Scope of Services listed above, including an estimated timeline for each element.
- ii. Exhibit A- Projects of similar nature
- iii. A statement of qualifications that addresses each of the elements listed in the Oualification section above.
- iv. A brief biography of each member of the Proposer's project team.
- v. Exhibit B- Non-Collusion- Prime Bidder
- vi. Exhibit C- Non-Collusion-Sub-prime bidder (mark "n/a" if not applicable)
- vii. Exhibit D- Qualifications form
- viii. Exhibit E- Affirmative Action form
- ix. Exhibit F- Equal Employment Opportunity form
- x. Exhibit G- Non-segregated facilities form
- xi. Exhibit H- Hold fee
- xii. Exhibit I- Terms and conditions acknowledgment

#### b) Envelope 2

- i. Exhibit J- Cost Proposal
- ii. A bid for completion of all work associated with this feasibility study that includes a matrix, chart, or table describing the estimated cost and allocation of resources for each deliverable defined in the Scope of Services section.

If it is determined based on the survey information that there isn't a need to pursue a FTTP project, the contract will be complete, and the consultant will invoice the City for the internet survey work only.

All proposals that are submitted along with any information that accompanies these proposals shall be subject to Connecticut State Public Record laws. All submitted material shall become the property of the City of New London.

The proposal submission deadline is 2:00 p.m. eastern time on November 29, 2022.

#### **EVALUATION CRITERIA**

#### 14. Evaluation Process

Proposals will be evaluated by the City of New London based on a variety of criteria including, but not limited to:

- a) Experience with projects of similar size, schedule, and complexity (10 points)
- b) Completeness of responses to the Scope of Services (25 points)
- c) Qualifications of Proposer and project team personnel (25 points)
- d) Responses of references from past projects (15 points)
- e) Demonstrated experience and knowledge of next-generation network topology (20 points)
- f) Price, which will be scored separately after the proposals are evaluated and scored (0 or 5 points)

The City will evaluate all proposals that are submitted by the deadline (see timeline below). After each proposal is evaluated and scored, the top three proposals will be shortlisted and cost proposals will be evaluated. The top scoring firm may then be invited to make a presentation to the New London City Council. Such presentation may be conducted either electronically or in New London by mutual agreement of the City and finalist(s).

The City reserves the right to reject any and all proposals regardless of merit.

#### **TIMELINE**

#### 15. Schedule

The table below shows the anticipated timeline for the selection of a vendor to conduct the feasibility study and delivery of the final feasibility study report. The City reserves the right to adjust this schedule as necessary.

Activity	Responsible Party	Deadline
Release Request for Proposal	City	October 17, 2022
Proposer Questions Due	Proposers	October 31, 2022 4 P.M.
Answers to RFP Questions Released	City	November 4, 2022
Proposals Due	Proposers	November 29, 2022, by 2:00 pm
Evaluation Period	City	November 30 to December 8,
		2022
Finalist Presentation	Proposers	December 19, 2022

#### **GENERAL INFORMATION**

#### 16. Questions and Replies

To ensure that all prospective respondents accurately and completely understand the RFP requirements, the City will accept written questions via e-mail to <a href="mailto:jmontague@newlondonct.org">jmontague@newlondonct.org</a> by the date and time listed below. Replies to all questions will be sent via blind copy to all registered vendors.

Only one point of contact is offered to respondents for this procurement and all communication must be accomplished exclusively by e-mail submission of questions to the procurement contact. No other direct or indirect contact is to be made during this RFP period with the procurement contact or with any other member of the City concerning this project. Violation of these project rules may be cause for the rejection of any submitted proposal.

Questions must be submitted by October 31, 2022 no later than 4 P.M. No questions may be answered after the date and time specified above.

#### 17. Proposed Submission

Each proposer must submit one (1) original copy of the proposal and the separate bid marked "ORIGINAL", along with an electronic copy of each in the form of a USB drive. Each proposer must also submit eight (8) additional bound copies marked "COPY". The same number of copies and format apply to any supporting documentation that is to be submitted. The complete proposal package must be submitted in a sealed envelope, clearly. identified as "2023-03 Broadband Feasibility Study". The proposal may be mailed or delivered to:

Joshua Montague
Accounting/Purchasing Agent
City of New London
13 Masonic Street
New London, CT 06320

Proposals must be submitted by 2:00 p.m. eastern time on November 29, 2022

All submittals are to be clearly marked "Attention: Purchasing Agent – 2023-03 Broadband Feasibility Study RFP".

If a proposer uses an express mail or courier service, the proposal name must be clearly marked on the exterior of the express mail or courier service envelope with the proposal name and also marked on the inside envelope.

A proposal that is not properly and clearly marked and is inadvertently opened before the scheduled proposal submission deadline may be disqualified without additional consideration.

Proposers accept all risks of late delivery of mailed proposals regardless of fault. Facsimile transmitted and email proposals will not be considered. All proposals and accompanying documentation will become the property of the City and will not be returned.

Proposals shall be good for 90 days following the date of submission.

#### 18. Proposed Award

The award, if any, shall be made to the firm whose proposal is deemed by the City to be in the best interest of the City. The decision of the City of whether to make the award and which proposal is in the best interest of the City shall be final.

#### 19. Signature of Responsible Party

All proposals must be signed with firm name and by a responsible officer or employee authorized to transact business on behalf of the organization, partnership, or corporation. No organization may assign or transfer any legal or equitable interest in his proposal after the date and hour set for the receipt of proposals.

#### 20. City's Rights Reserved

The City reserves the right to accept or reject any or all proposals in whole or in part, to waive any irregularities in any proposal, to accept the proposal(s) which, in the judgment of the City is/are most advantageous to the City and to re-advertise if desired. The City reserves the right to negotiate with any provider(s).

#### 21. Conflict of Interest

The provider agrees that it presently has no interest, and shall acquire no interest, direct or indirect, that would conflict in any manner or degree with the performance of its services hereunder. The provider further agrees that, in the performance of the agreement, no person having any such interest shall be employed.

#### 22. Ownership and Disclosure

All documents and materials prepared pursuant to this proposal are the property of the City. The City shall have the unrestricted authority to publish, disclose, distribute, and otherwise use, in whole or in part, any reports, data or other materials prepared under this process. All proposals are subject to the provisions of Connecticut public records law. However, there will be no disclosure of the contents to competing firms until the contract is awarded. All proposals will be kept confidential during the negotiation process. Once the contract has been awarded all proposals will be open for public inspection, except for trade secrets and confidential information, which the firm identifies as proprietary.

#### 23. Equal Opportunity

The City of New London is an Equal Opportunity Employer and prohibits, in accordance with the law, discrimination on the basis of race, sex, sexual identity or expression, gender origin or identity, national origin, religion, disability or age.



## **Exhibit A**

Note: Provi	de a minimum	of three (3)	projects of simil	ar nature alon	a with aualifi	cations outline	d in the Ou	alification section	More project

Firm Name \_\_\_\_\_

may be provided if desired.

Line No.	Project Title	State of CT Project # (if applicable)	Owner	Owner Point of Contact Name/Phone #	Project Budget	Month/Year Of Occupancy
1						
2						
3						
4						
5						
6						
7						

## **Exhibit B**

## NON COLLUSION AFFIDAVIT OF PRIME BIDDER

State of		_)		
County of		) ss. )		
,		<del></del>	, being first duly sworn	
deposes and sa	ay that:		, some men dany onem	
(1)	He is as the "Bidder" that has	ofs submitted the attached bid;	herein referred to	
(2)	He is fully informed respecting the preparation and content of the attached Bid and of all pertine circumstances respecting such Bid;			
(3)	Such Bid is genuine and is not a collusive or sham Bid;			
(4)	Neither the said Bidder nor any of its officers, partners, owners, agents, representatives, employees parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, direct or indirectly with any other Bidder, firm or person to submit a collusive or sham Bid in connection with the Contract for which the attached Bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner directly or indirectly, sought by agreement or collusion communication or conference with any other Bidder, firm or person to fix the price or prices in the attached Bid or of any other Bidder, or to fix any overhead, profit or cost element of the Bid price or the Bid price of any other Bidder, or to secure through any collusion, conspiracy, connivance or unlaw agreement any advantage against the City of New London, CT or any person interested in the propose Contract; and			
(5)	The price or prices quoted in the attached Bid are fair and proper and are not tainted by any collusi conspiracy, connivance or unlawful agreement on the part of the Bidder or any of its ager representatives, owners, employees, or parties of interest, including this affiant.			
New London is directly			s payable in whole or in part from the City of s Bid, or in the supplies, materials, equipment s thereof.	
		Sign	ed	
		Title		
Subscribed and	d sworn before me this			
day of	20			
(Nota	ry Public)	_		
My Commissio	n avniras			

# **Exhibit C**

## NON COLLUSION AFFIDAVIT OF SUBCONTRACTOR

State of		_)	
County of		) ss. _)	
			, being first duly sworn,
deposes and s	ays that:		
(1)	He isas the "Subcontractor";	of	herein referred to
(2)			d content of the Subcontractor's Proposal submitted, the Contractor for certain work in Contract pertaining to the Project in New London,
(3)	Such Subcontractor's F	Proposal is genuine and is	not a collusive or sham Bid;
(4)	employees or parties in or agreed, directly or in in connection with the 0 bidding in connection w agreement or collusion the price or prices in sa the Bid price or the Bid	n interest, including this affindirectly with any other Bidd Contract for which the attactivith such Contract, or has in or communication or confeated Subcontractor's Proposition of any other Bidder, agreement any advantage	cers, partners, owners, agents, representatives, ant, has in any way colluded, conspired, connived der, firm or person to submit a collusive or sham Bid ched Bid has been submitted or to refrain from any manner directly or indirectly, sought by erence with any other Bidder, firm or person to fix all or to fix any overhead, profit or cost element of or to secure through any collusion, conspiracy, against the City of New London, CT or any person
(5)	tainted by any collusion	n, conspiracy, connivance o	ntractor's Proposal are fair and proper and are not or unlawful agreement on the part of the Bidder or yees, or parties of interest, including this affiant.
(6)	New London is directly		ry is payable in whole or in part from the City of a this Bid, or in the supplies, materials, equipment, rofits thereof.
		5	Signed
		-	Fitle
Subscribed an	d sworn before me this		
day of	f20		
(Nota	ary Public)	_	
My Commissio	on expires		

# **Exhibit D**

SBQ Page 1 of 2

#### Statement of Bidder's Qualifications

All items and questions must be answered and the data given must be clear and comprehensive. This statement must be notarized. If necessary, questions may be answered on separate attached sheets. The bidder may submit any additional information it desires.

1.	Name of Bidder
2.	Bidder's Tax Identification No.
3.	Permanent main office address
4.	When organized
5.	If corporation, where incorporated
6.	Number of years have you been engaged in the contracting business under your present firm or trade name
7.	Contracts on hand: (Schedule these showing amount of each contract and the appropriate anticipated dates of completion)
8.	General character of work performed by your company
9.	Have you ever failed to complete any work awarded to you? If so, where and why?
10.	Have you ever defaulted on a contract? If so, where and why?
11.	List the more important projects recently completed by your company, stating the approximate cost for each, and the month and year completed (use a separate sheet if necessary)
12.	List your major equipment available for this Contract
13.	List your experience in work similar to this project

# Exhibit D

14.	List the background and experience of the principal members of your organization, including officers
15.	List the work to be done by Subcontractors and summarize the dollar value of each Subcontract
16.	Credit available \$
17.	Give Bank reference
18.	Will you, upon request, fill out a detailed financial statement and furnish any other information that may be required by the Owner?
19.	The undersigned hereby authorizes and requests any person, firm or corporation to furnish any information requested by the Owner in verification of the recitals comprising this Statement of Bidder's Qualifications.
Dated	(Name of Bidder)
	By
State	
County	of) ss. y of)
	being duly sworn deposes and says that (s)he is
	of
correc	_, and that the answers to the foregoing items and questions and all statements therein contained are true ar t.
Subsc	ribed and sworn to before me this
	day of <u>20</u>
	(Notary Public)
My Co	mmission expires

## **Exhibit E**

# AFFIRMATIVE ACTION POLICY STATEMENT (must be submitted on your firm's letterhead)

	rill continue to be the strong commitment of
equal opportunities in employment to all qu	ontractors and subcontractors who do business with this City to provide ualified persons solely on the basis of job-related skills, ability and merit. vill continue to take Affirmative Action to ensure that applicants are
employed and that employees are treate national origin, ancestry, mental disorder to blindness), marital status, mental retar employment, upgrading, demotion or trans pay or other forms of compensation and s	d during employment without regard to their race, color, religion, sex, (present or past history thereof), age, physical disability (but not limited dation, and criminal record. Such action includes, but is not limited to, sfer; recruitment or recruitment advertising; layoff or termination; rates of election for training including apprenticeship.  nue to make good faith efforts to comply with all federal and state laws
States Constitution, Civil Rights Act of 18 United States Civil Rights Act, Presidential federal contracts), Act I, Sections 1 and 2 Number 11, Governor O'Neill's Executive 46a-60-69) of the Connecticut General St Civil Rights (46a-58(a)(d)), Public Accom (46a-80), definition of Blind (46a-51(1)), Retarded (46a-51(13)), cooperation with the state of the constitution of the state of the constitution of t	are addressed in the 13th, 14th, and 15th Amendments of the United 366, 1870, 1871, Equal Pay Act of 1963, Title VI and VII of - the 1964 I Executive Orders 11246, amended by 11375, (nondiscrimination under 20 of the Connecticut Constitution, Governor Grasso's Executive Order Order Number 9, the Connecticut Fair Employment Practices Law (Sectatutes, Connecticut Code of Fair Practices (46a-70-81), Deprivation of modations Law (46a-63-64), Discrimination against Criminal Offenders definition of Physically Disabled (46a-51(15)), definition. of Mentally the Commission on Human Rights and Opportunities (46 - 77), Sexual Credit Discrimination Law (36-436 through 439), Title I of the State and
This Affirmative Action Policy Statement Opportunity.	atement re-affirms my personal commitment to the principles of Equal
	Signature of Authorized Signer

#### Exhibit F

#### <u>CERTIFICATION OF BIDDER REGARDING</u> EQUAL EMPLOYMENT OPPORTUNITY

#### **INSTRUCTIONS**

This certification is required pursuant to Executive Order 11246 Part II, Section 203(b), (30 FR 12319, 12935). The implementing rules and regulations provide that any bidder or prospective contractor, or any of its proposed subcontractors, shall state whether it has participated in any previous contract or subcontract subject to the equal opportunity clause; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicated that the bidder has not filed a compliance report due under applicable instructions, such bidder shall be required to submit a compliance report within seven (7) calendar days after bid opening. No contract shall be awarded unless such report is submitted.

**CERTIFICATION BY BIDDER** 

## Bidder's Name \_\_\_\_\_ Address and Zip Code \_\_\_\_\_\_ 1. Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause. Yes () No ( ) If answer is yes, identify the most recent contract. 2. Compliance reports were required to be filed in connection with such contract or subcontract No ( ) If answer is yes, identify the most recent contract. Bidder has filed all compliance reports due under applicable instructions, including SF. 100. 3. Not Required ( ) Yes ( ) No ( ) 4. If answer to Item 3 is "No" please explain in detail on reverse side of this Certification. Certification - The information above is true and complete to the best of my knowledge and belief. A willfully false statement is punishable by law (U.S. Code, Title 18, Section 1001). Name and Title of Signer (Please Type) Signature Date

#### Exhibit G

# CERTIFICATION OF NON-SEGREGATED FACILITIES 03 Broadband Feasibility Study

This Bidder certifies that he does not maintain or provide his employees any segregated facilities at any of his establishments, and that he does not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The Bidder certifies further that he will not maintain or provide for his employees any segregated facilities at any of his establishments, and that he will not permit his employees to perform their services at any locations, under his control, where segregated facilities are maintained. The Bidder agrees that a breach of his certification will be a violation of the Equal Opportunity clause and any Contract resulting from acceptance of this Bid. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are, in fact, segregated on the basis of race, color, religion or national origin, because of habit, local custom or otherwise. The Bidder agrees that (except where he has obtained identical certification from proposed Subcontractors for specific time periods) he will obtain identical certifications from proposed Subcontractors prior to the award of Subcontracts exceeding \$10,000 which are not exempt form the provisions of the Equal Opportunity clause; that he will retain such certifications in his files; and that he will forward the following notice to such proposed subcontractors (except where the proposed subcontractors have already submitted identical certifications for the specific time periods):

"Notice to prospective subcontractors of requirements for non-segregated facilities. A certification of non-segregated facilities must be submitted prior to the award of a subcontract exceeding the Equal Opportunity Clause. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e. quarterly, semi-annually, or annually).

Note: The penalty for making false statements in offer	s is prescribed in 18 U.S.C. Paragraph 1001.
Date:	Ву:
Official Address:	Title:



# **EXHIBIT H**

Insert Firm Name	_ As a condition of satisfying the minimum requirements of the	
Broadband Feasibility Study Request for Quntil March 01, 2023.	Qualifications/Proposal, hereby agrees to hold their fee (no increas	
Authorized Signature	Date	
Title	-	
Printed Name	-	



## **Exhibit I**

Insert Company Name	As a condition of satisfying the minimum requirements of
, , ,	or Qualifications/Proposal, hereby accept the terms and conditions
of the contract, without exceptions.	
And aring I Cinner	
Authorized Signature	Date
	_
Title	
	_
Printed Name	



Firms must acknowledge that the costs of services listed below are included in their base fee. The acknowledgment shall be made by the firms' principal identified in the response, by initialing the service in the column provided. If a service is not initialed, it will be considered not included in the base fee and therefore CONL will consider the fee proposal non-responsive, and the firm will not be considered for the project.

		COSTS INCLUDED
SERVICES	<b>Breakout costs</b>	Initial
Personnel costs to include, but not limited to, conducting necessary surveys, designing network(s), cost estimation, and presentation(s).	Included	
All costs of surveys to include, but not limited to, materials and mailing.	Included	
Mileage costs	Included	
Presentation materials and supplies	Included	
Insurance limits as outlined in RFP/Q terms and conditions	Included	
Any costs associated with speed tests	Included	
Any costs to conduct necessary interviews	Included	
Costs to prepare the response to this RFP/Q are not to be included in the fee proposal	NOT Included	

Total Fee	\$	
No reimbursable costs will be accepted separate from the cost proposal.		
Firm:		
Name:(Please Print)		
Signature:	Date:	



#### **CONTRACT FOR SERVICES**

THIS AGREEMENT made and entered into this *Xth* day of *(MONTH), 2022*, by and between (*Company Name, Address)*, hereinafter called "Contractor" and the City of New London, 181 State Street, New London, CT 06320, hereinafter called "City."

WHEREAS, the City desires to enter into a contract for the (*state nature of work*) and the Contractor represents itself as competent and qualified to accomplish the specific requirements of this contract to the satisfaction of the City, therefore this contract is entered into under the following terms and conditions:

- 1. The Contractor agrees to perform the services described below or in attachments if applicable. Only those attachments specifically referenced in this Contract for Services shall apply. The terms and conditions as contained in this Contract for Services shall take precedence over any conflicting terms as may be attached hereto.
- 2. Term of the Contract: The start date for this Contract shall be (Month, Day), 2022 and the completion date of this Contract shall be (Month, Day), 2022, time being of the essence.
- 3. Contract Price: The City shall pay the Contractor for the performance of the Contract in current funds, for the total quantities of work performed for the price of (*Dollar Amount in words*) (\$).

Based upon Applications for Payment submitted by the Contractor, the City shall make progress payments on account of the Contract Sum to the Contractor. The period covered by each Application for Payment shall be one calendar month ending on the last day of the month. The City shall make payment to the Contractor within 30 days after the City receives the Application for Payment. With each Application for Payment, the Contractor shall submit the most recent schedule of values, which allocates the Contract Price among the various portions of the Work. The Applications for Payments shall indicate the percentage of completion of each portion of the Work. Such Applications may include requests for payment on account of changes in the Work, but not yet included in Change Orders. Partial payment shall be due Contractor in the amount of 95.0% of the work in place.

Final payment, constituting the entire unpaid balance of the Contract Price, including Change Orders, shall be made by the City to the Contractor no later than 30 days after the Contractor has fully performed the Contract and has provided to the Owner a final Application for Payment.

**4. Contract Documents:** The Contract Documents consist of this Agreement, the Standard Bid and Contract Terms and Conditions, the Instructions to Bidders, the Contractor's bid as accepted by the City, the General and Special Conditions of the Work, the Technical Specifications, the drawings and all Addenda attached hereto.

The Contract Documents are complementary and what is called for by any one shall be as binding as if called for by all. In the event of any conflict or inconsistency between the provisions of this Agreement and the provisions of any of the other Contract Documents, the provisions of the Agreement shall prevail.

Reference to standard specifications, manuals or codes of any technical society, organization or association, or to the laws or regulations of any governmental authority, whether such reference be specific or by implication, shall mean the edition of the standard specification, manual, code or laws or regulations identified in the reference. In the event a particular edition is not identified, the reference shall mean the latest edition in effect at the time of receipt of the bid. However, no provision of any referenced standard specification, manual or code (whether or not specifically incorporated by reference in the Contract Documents) shall change the duties and responsibilities of the City, the Contractor or any of their consultants, agents or employees from those set forth in the Contract Documents.

5. Obligations And Liability Of The Contractor: The Contractor shall do all the work and perform and furnish all the labor, services, materials, equipment, plant, machinery, apparatus, appliances, tools, supplies and all other things (except as otherwise expressly provided herein) necessary and as herein specified for the proper performance and completion of the Work in the manner and within the time hereinafter specified, in strict accordance with the Drawings, Specifications and other Contract Documents, in conformity with the directions and to the satisfaction of the City, and at the prices herein agreed upon therefor.

All parts of the Work and all fixtures, equipment, apparatus and other items indicated on the Drawings and not mentioned in the Specifications, or vice versa, and all work and material usual and necessary to make the Work complete in all its parts, including all incidental work necessary to make it complete and satisfactory and ready for use and operation, whether

or not they are indicated on the Drawings or mentioned in the Specifications, shall be furnished and executed the same as if they were called for both by the Drawings and by the Specifications.

The Contractor shall coordinate his operations with those of any other contractors who may be employed on other work of the City, shall avoid interference therewith, and shall cooperate in the arrangements for storage of materials and equipment.

The Contractor shall conduct his work so as to interfere as little as possible with private business and public travel. Wherever and whenever necessary or required, he shall maintain fences, furnish watchmen, maintain lights, and take such other precaution as may be necessary to protect life and property.

The Contractor shall indemnify and save harmless the City and its officers, agents, servants and employees, from and against any and all claims, demands, suits, proceedings, liabilities, judgments, awards, losses, damages, costs and expenses, including attorneys' fees, on account of bodily injury, sickness, disease or death sustained by any person or persons or injury or damage to or destruction of any property, directly or indirectly arising out of, relating to or in connection with the Work, whether or not due to or claimed to be due in whole or in part to the active, passive or concurrent negligence or fault of the Contractor, his officers, agents, servants or employees, any of his subcontractors, the City or any of their respective officers, agents, servants or employees and/or any other person or persons, and whether or not such claims, demands, suits or proceedings are just, unjust, groundless, false or fraudulent; and the Contractor shall and does hereby assume and agrees to pay for the defense of all such claims, demands, suits and proceedings, provided, however, that the Contractor shall not be required to indemnify the City, its officers, agents, servants, or employees, against any such damages occasioned solely by acts or omissions of the owner other than supervisory acts or omissions of the City in the Work.

The Contractor shall have complete responsibility for the Work and the protection thereof, and for preventing injuries to persons and damage to the Work and property and utilities on or about the Work, until final completion and final acceptance thereof. He shall in no way be relieved of his responsibility by any right of the City to give permission or directions relating to any part of the Work, by any such permission or directions given, or by failure of the City to give such permission or directions. The Contractor shall bear all costs, expenses, losses and damages on account of the quantity or character of the Work or the nature of the land (including but not limited to subsurface conditions) in or under or on which the Work is done being different from that indicated or shown in the Contract Documents or from what was estimated or expected, or on account of the weather, elements, or other causes unless information regarding such condition(s) was known and not disclosed by the City prior to Contractor commencing its work.

The Contractor shall conduct his operations so as not to damage existing structures or work installed either by him or by other contractors. In case of any such damage resulting from his operations, he shall repair and make good as new the damaged portions at his own expense with the consent of the damaged party. In the event that consent is not given, the Contractor shall continue liable for the damage caused.

The Contractor shall be as fully responsible to the City for the acts and omissions of his subcontractors, their officers, agents, servants and employees as he is for his own acts and omissions and those of his own officers, agents, servants and employees.

Should the Contractor sustain any loss, damage or delay through any act or omission of any other contractor or any subcontractor of any such other contractor, the Contractor shall have no claim against the City therefor, other than for an extension of time, but shall have recourse solely to such other contractor or subcontractor.

If any other contractor or any subcontractor of any such other contractor shall suffer or claim to have suffered loss, damage or delay by reason of the acts or omissions of the Contractor or of any of his subcontractors, the Contractor agrees to assume the defense against any such claim and to reimburse such other contractor or subcontractor for such loss or damage.

The Contractor agrees to and does hereby indemnify and save harmless the City from and against any and all claims by such other contractors or subcontractors alleging such loss, damage or delay and from and against any and all claims, demands, suits, proceedings, liabilities, judgments, awards, losses, damages, costs and expenses, including attorneys' fees, arising out of, relating to or resulting from such claims.

The Contractor shall promptly pay all federal, state and local taxes which may be assessed against him in connection with the Work or his operations under this Agreement and/or the other Contract Documents, including, but not limited to, taxes attributable to the purchase of material and equipment, to the performance of services, and the employment of persons in the prosecution of the Work.

Because (i) anticipated damages hereunder are uncertain in amount and difficult to prove, (ii) the parties hereto wish to liquidate said damages in advance, and (iii) monies paid pursuant to this Agreement are not greatly disproportionate to the damage reasonably anticipated in the event of default, it is further agreed that if Contractor shall not complete all of its obligations under this contract within the time period and by the completion date specified in Paragraph 2 herein, Contractor shall pay liquidated damages to the City at a rate of \$\_\_\_n/a\_\_\_\_ per day for every day beyond the completion date until such time as the Contractor finally completes all of its obligations under this Contract.

**Supervision Of Work:** The Contractor shall be solely responsible for supervision of the Work, shall give the work the constant attention necessary to ensure the expeditious and orderly progress thereof, and shall cooperate with the City, its officers, agents or employees in every possible way.

At all times, the Contractor shall have as his agent on the Work a competent superintendent capable of reading and thoroughly understanding the Drawings and Specifications, with full authority to execute the directions of the Engineer without delay and to supply promptly such labor, services, materials, equipment, plant, apparatus, appliances, tools, supplies and other items as may be required. Such superintendent shall not be removed from the Work without the prior written consent of the City. If, in the opinion of the City, the superintendent or any successor proves incompetent, the Contractor shall replace him with another person approved by the City; such approval, however, shall in no way relieve or diminish the Contractor's responsibility for supervision of the Work.

Whenever the Contractor or his agent or superintendent is not present on any part of the Work where it may be necessary to give directions or instructions with respect to such work, such directions or instructions may be given by the City to and shall be received and obeyed by the foreman or any other person in charge of the particular work involved.

7. Insurance: The Contractor shall procure and maintain insurance of the types specified below, and to the limits for this insurance specified in the Standard Bid and Contract Terms and Conditions and the City of New London Code of Ordinances, Article IV., Section 2-71. All insurance shall be obtained from companies satisfactory to the City.

Insurance shall be in such forms as will protect the Contractor from all claims and liability for damages for bodily and personal injury, including accidental death, and for property damage, which may arise from operations under the Contract, whether such operations be by himself, his subcontractors, or by anyone directly or indirectly employed or engaged by him.

The following types of insurance shall be provided before starting and until final completion and acceptance of the Work and expiration of the guarantee period provided for in the Agreement.

- a. Workmen's Compensation and Employer's Liability Insurance.
- b. Bodily Injury Insurance for operations and completed operations and Contractor's Protective Bodily Injury Insurance.
- c. Property Damage Insurance for operations and completed operations and Contractor's Protective Property Damage Insurance, each including coverage for injury to or destruction of wires or pipes and similar property and appurtenant apparatus and the collapse of or structural injury to any building or structure except those on which work under the Contract is being done. Blasting and explosion coverage shall be obtained if there is a need for blasting under the Contract, and no blasting shall be performed until such insurance has been secured.
- d. Bodily Injury Insurance covering the operation of all motor vehicles owned by the Contractor.
- e. Personal Injury Insurance to cover claims for personal injury and including claims brought by employees.
- f. Property Damage Insurance covering the operation of all motor vehicles owned by the Contractor.
- g. Insurance to cover bodily injuries and property damage resulting from the use of motor vehicles not owned by the Contractor, while such vehicles are being operated in connection with the prosecution of the Work.

- h. Contractual Liability Insurance covering the liability assumed by the Contractor under the fifth paragraph of that subsection titled "Obligations and Liability of Contractor" of this Agreement.
- i. Owner's Protective Liability and Property Damage Insurance to protect the City and any Engineer against claims for Property damage and for bodily injuries, including accidental death, caused by the operations of the Contractor or his subcontractors on the Work. The policy shall indicate the City and any Engineer as the named insured. A copy of the policy shall be furnished to the City and a Certificate of Insurance shall be furnished to any Engineer.

Any of the above coverages written on a claims made form have an Extended Reporting Period of two years from the termination of the contract. If the policy is replaced and/or the retroactive date is changed, then the expiring policy must be endorsed to extend the reporting period for claims for the policy in effect during the contract for two (2) years from the completion date.

All policies shall be so written that the owner will be notified in writing of cancellation or restrictive amendment at least 30 days prior to the effective date of such cancellation or amendment.

Certificates from the Contractor's insurance carriers stating the coverages provided, the limits of liability, and expiration dates shall be filed in triplicate with the Engineer before operations are begun. Such certificates shall be on the form furnished by the Engineer.

The CITY requires that the aggregate limits be maintained by the CONTRACTOR as required. It is the responsibility of the CONTRACTOR or his representative to notify the CITY if ever or whenever claims reduce the General Aggregate below \$1,000,000.

Renewal certificates must be furnished by the Contractor prior to the expiration date of any of the initial insurances.

No insurance required or furnished hereunder shall in any way relieve the Contractor of or diminish any of his responsibilities, obligations and liabilities under the Contract.

Contractor shall agree to maintain in force during the contract the following minimum coverages and shall name the City of New London as an Additional Insured on a primary and non-contributory basis to all policies, except Workers Compensation. All policies should also include a Waiver of Subrogation.

Insurance shall be written with Carriers approved in the State of Connecticut and with a minimum AM Best's Rating of "A-" VIII. In addition, all Carriers are subject to approval by City of New London.

- **8. Contract:** This Agreement, which includes all the Contract Document elements listed in paragraph 1 above, forms the Contract between the parties identified in the heading of this document. In the event that any provision of the Contract conflicts with any other provision of this Contract, the decision of the City will be final.
- 9. Funding and Fiscal Year Appropriations: Appropriations for expenditures by the City and authorization to spend for a particular purpose are ordinarily made on a fiscal year basis. The fiscal year of the City is the twelve (12) month period ending June 30 of each year. The obligations of the City under this Contract for the present or any subsequent fiscal year following the fiscal year in which this Contract is executed are subject to the appropriation of funds sufficient to discharge the City's obligation, which accrues in this or any subsequent fiscal year. In the absence of such appropriation or authorization, this Contract shall be terminated immediately upon the Contractor's receipt of notice to said effect without liability for damages, penalties or other charges arising from early termination. Expenditures for Contracted services that will extend beyond a single fiscal year shall not exceed in any fiscal year the amount appropriated and authorized for said fiscal year. The Contractor's yearly costs, as contained herein, may not exceed the amount appropriated for said year.
- **10. Termination:** The Contract may be terminated without cause by either party by giving written notice to the other at least thirty (30) calendar days prior to the effective date of termination stated in the notice. If Contractor fails to fulfill his obligations, the City may terminate this Contract by giving written notice to the Contractor at least seven (7) calendar days before the effective date of termination stated in the notice. The notice shall state the circumstances of the alleged breach and may state a period during which the alleged breach may be cured, which cure shall be subject to approval by the City.

#### 11. Obligations in Event of Termination:

- A. Upon termination, all finished or unfinished documents, data, studies, and reports prepared by the Contractor pursuant to this Contract, shall become the property of the City.
- B. The City shall promptly pay the Contractor for all services performed to the effective date of termination, subject to indemnification provisions of Paragraph 5 hereof and subject to offset of sums due the Contractor against sums owed by the Contractor to the City.
- 12. Record keeping, Audit, and Inspection of Records: The Contractor shall maintain books, records and other compilations of data pertaining to the requirements of the Contract to the extent and in such detail as shall properly substantiate claims for payment under the Contract. All such records shall be kept for a period of six (6) years or for such longer period as is specified herein. All retention periods start on the first day after final payment under this Contract. If any litigation, claim, negotiation, audit or other action involving the records is commenced prior to the expiration of the applicable retention period, all records shall be retained until completion of the action and resolution of all issues resulting therefrom, or until the end of the applicable retention period, whichever is later. The Federal grantor agency, the State Auditor, the City, or any of their duly authorized representatives or designees shall have the right at reasonable times and upon reasonable notice, to examine and copy, at reasonable expense, the books, records, and other compilations of data of the Contractor which pertain to the provisions and requirements of this Contract. Such access shall include on-site audits, review, and copying of records.
- 13. Publicity, Publication, Reproduction and Use of Contract Products or Materials: Unless provided otherwise by law or the City, title and possession of all data, reports, programs, software, equipment, furnishings, and any other documentation or product paid for with City funds shall vest with the City at the termination of the Contract. The Contractor shall at all times obtain the prior written approval of the City before it, any of its officers, agents, employees or subcontractors, either during or after termination of the Contract, makes any statement bearing on the work performed or data collected under this Contract to the press or issues any material for publication through any medium of communication. If the Contractor, or any of its subcontractors, publishes a work dealing with any aspect of performance under the Contract, or of the results and accomplishments attained in such performance, the City shall have a royalty-free non-exclusive and irrevocable license to reproduce, publish or otherwise use and to authorize others to use the publication. The Contractor shall use reasonable means to inform the public that the City provides financial support for its operations and services by explicitly stating on publicity material, stationery, posters and other written materials, and on its premises the following: "This program is supported in part (in full) by the City of New London."
- **14. Assignment by Contractor and Subcontracting:** The Contractor shall not assign or in any way transfer any interest in this Contract without the prior written consent of the City, nor shall be subcontract any services without the prior written approval of the City.
- **15. Connecticut Law:** It is agreed that this contract shall be governed by, construed, and enforced in accordance with the internal laws of the State of Connecticut.
- **16. Venue:** In the event of litigation, the parties do agree to be contractually bound to submit themselves to the personal jurisdiction of the state courts of Connecticut. The venue for any court proceeding shall be in the Superior Court for the Judicial District for New London at New London, Connecticut.
- 17. Waiver of Jury Trial: CONTRACTOR HEREBY EXPRESSLY WAIVES ANY AND ALL RIGHTS IT MAY HAVE TO TRIAL BY JURY OF ANY CLAIM, DEMAND, ACTION OR CAUSE OF ACTION (1) ARISING UNDER THIS AGREEMENT OR ANY OTHER INSTRUMENT, DOCUMENT OR AGREEMENT EXECUTED OR DELIVERED IN CONNECTION HEREWITH, OR (2) IN ANY WAY CONNECTED WITH OR RELATED OR INCIDENTAL TO THE DEALINGS OF THE PARTIES HERETO OR ANY OF THEM WITH RESPECT TO THIS AGREEMENT OR ANY OTHER INSTRUMENT, DOCUMENT OR AGREEMENT EXECUTED OR DELIVERED IN CONNECTION HEREWITH, OR THE TRANSACTIONS RELATED HERETO OR THERETO, IN EACH CASE WHETHER NOW EXISTING OR HEREAFTER ARISING, AND WHETHER SOUNDING IN CONTRACT OR TORT OR OTHERWISE; AND CONTRACTOR HEREBY AGREES AND CONSENTS THAT ANY SUCH CLAIM, DEMAND, ACTION OR CAUSE OF ACTION SHALL BE DECIDED BY COURT TRIAL WITHOUT A JURY, AND THE CITY MAY FILE AN ORIGINAL COUNTERPART OR A COPY OF THIS SECTION WITH ANY COURT AS WRITTEN EVIDENCE OF CONTRACTOR'S CONSENT TO THE WAIVER OF ITS RIGHT TO TRIAL BY JURY.
- 18. Nondiscrimination and affirmative action provisions, nondiscrimination provisions regarding sexual orientation, Executive Order Number Three and guidelines and rules, Executive Order Number Seventeen, Executive Order Number Sixteen and sexual harassment policy:

For the purposes of this article, the word "contractor" is substituted for and has the same meaning and effect as if it read "Contractor's name." Section A of this article is inserted in connection with subsection (a) of Section 4a-60 of the General Statutes of Connecticut, as revised. Section B of this article is inserted in connection with subsection (a) of Section 4a-60a of the General Statutes of Connecticut, as revised.

A. (a) For the purposes of this section, "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Conn. Gen. Stat. Section 32-9n; and "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations. "Good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements.

For the purposes of this section, "Commission" means the Commission on Human Rights and Opportunities.

For the purposes of this section, "public works contract" means any agreement between any individual, firm, or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance, or guarantees.

- (b) (1) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation, or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut. The contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation, or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved; (2) the contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the contractor agrees to comply with each provision of this section and Conn. Gen. Stat. Sections 46a-68e and 46a-68f and with each regulation or relevant order issued by said commission pursuant to Conn. Gen. Stat. Sections 46a-56, 46a-68e, and 46a-68f; (5) the contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records, and accounts concerning the employment practices and procedures of the contractor as they relate to the provisions of this section and Conn. Gen. Stat. Section 46a-56. If the contract is a public works contract, the contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works project.
- (c) Determination of the contractor's good faith efforts shall include, but shall not be limited to, the following factors: The contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- (d) The contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.
- (e) The contractor shall include the provisions of subsection (b) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor, or manufacturer unless exempted by regulations or orders of the Commission. The contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Conn. Gen. Stat. Section 46a-56; provided, if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result

of such direction by the Commission, the contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

- (f) The contractor agrees to comply with the regulations referred to in this section as they exist on the date of this contract and as they may be adopted or amended from time to time during the term of this contract and any amendments thereto.
- B. (a) (1) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or of the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said commission pursuant to Section 46a-56 of the Connecticut General Statutes; (4) the contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records, and accounts concerning the employment practices and procedures of the contractor that relate to the provisions of this section and Section 46a-56 of the Connecticut General Statutes.
- (b) The contractor shall include the provisions of subsection (a) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor, or manufacturer unless exempted by regulations or orders of the Commission. The contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Section 46a-56 of the Connecticut General Statutes; provided, if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.
- (c) The contractor agrees to comply with the regulations referred to in this section as they exist on the date of this contract and as they may be adopted or amended from time to time during the term of this contract and any amendments thereto.
- C. This contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill promulgated June 16, 1971, and, as such, this contract may be cancelled, terminated, or suspended by the State Labor Commissioner for violation of or noncompliance with said Executive Order No. Three, or any State or federal law concerning nondiscrimination, notwithstanding that the State Labor Commissioner is not a party to this contract. The parties to this contract, as part of the consideration hereof, agree that said Executive Order No. Three is incorporated herein by reference and made a part hereof. The parties agree to abide by said Executive Order and agree that the State Labor Commissioner shall have continuing jurisdiction in respect to contract performance in regard to nondiscrimination, until the contract is completed or terminated prior to completion.

The contractor agrees, as part consideration hereof, that this contract is subject to the Guidelines and Rules issued by the State Labor Commissioner to implement Executive Order No. Three, and that he will not discriminate in his employment practices or policies, will file all reports as required, and will fully cooperate with the State of Connecticut and the State Labor Commissioner.

- D. This contract is subject to the provisions of Executive Order No. Seventeen of Governor Thomas J. Meskill promulgated February 15, 1973, and, as such, this contract may be cancelled, terminated, or suspended by the contracting agency or the State Labor Commissioner for violation of or noncompliance with said Executive Order No. Seventeen, notwithstanding that the State Labor Commissioner may not be a party to this contract. The parties to this contract, as part of the consideration hereof, agree that Executive Order No. Seventeen is incorporated herein by reference and made a part hereof. The parties agree to abide by said Executive Order and agree that the contracting agency and the State Labor Commissioner shall have joint and several continuing jurisdiction in respect to contract performance in regard to listing all employment openings with the Connecticut State Employment Service.
- E. This contract is subject to the provisions of Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, and, as such, the contract may be canceled, terminated, or suspended by the State for violation of or noncompliance with said Executive Order No. Sixteen. Executive Order No. Sixteen is attached hereto and made a part hereof. The parties agree to comply with such executive order. In addition, the contractor agrees to include a copy of

Executive Order No. Sixteen, and the requirement to comply with said executive order, in all contracts with its contractors, subcontractors, consultants, sub-consultants, and vendors.

- F. This contract is subject to the provisions of the City of New London Sexual Harassment Policy ("Policy") and, as such, the contract may be canceled, terminated, or suspended by the City in the event that the contractor, its employees, contractors, subcontractors, consultants, sub-consultants, or vendors engages in behavior prohibited by the provisions of the Policy (a copy of the Policy is attached hereto). The contractor agrees to include a copy of the Policy, and the requirement to prevent behavior as defined in such Policy, in all contracts with its contractors, subcontractors, consultants, sub-consultants, and vendors.
- 19. Force Majeure: Neither party shall be liable to the other or be deemed to be in breach of this Contract for any failure or delay in rendering performance arising out of causes beyond its reasonable control and without its fault or negligence. Such causes may include, but are not limited to, acts of God or of a public enemy, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, or unusually severe weather. Dates or times of performance shall be extended to the extent of delays excused by this section, provided that the party whose performance is affected notifies the other promptly of the existence and nature of such delay.
- 20. Compliance with Laws and Indemnification of the City of New London: The Contractor shall comply with all applicable laws, rules, regulations, ordinances, orders or requirements of the State of Connecticut and any governmental authority relating to the delivery of the services specified in this Contract. The City may require the Contractor to pay fines, penalties, and damages that may arise out of or may be imposed because of, the

Contractor's breach or failure to comply with the provisions of this Contract. Unless otherwise provided by law the Contractor shall indemnify and hold harmless the City, its agents, officers and employees against any and all liability, loss, damages, penalties, costs or expenses for personal injury or damage to real or tangible personal property which the City may sustain, incur or be required to pay resulting from, arising out of, or in connection with the services performed or delivered under this Contract by reason of acts, inactions, omissions, negligence, reckless or intentional misconduct of the Contractor, its agent(s), officers, employees or subcontractors; provided that the Contractor is notified of any claim within a reasonable time after the City becomes aware of it, and the Contractor is afforded an opportunity to participate in the defense of such claim. In such event, no negotiated settlement agreement shall be binding on the Contractor without the Contractor's concurrence.

- 21. Waivers And Severability: All conditions, covenants, duties and obligations contained in this Contract can be waived only by written agreement. Forbearance or indulgence in any form or manner by a party shall not be construed as a waiver, nor in any way limit the legal or equitable remedies available to that party. A waiver or breach of any term, condition, or covenant by a party shall not constitute a waiver or breach of any other term, condition or covenant. If any court of competent jurisdiction declares a provision of this Agreement invalid, illegal, or otherwise unenforceable, the remaining provisions of the Agreement shall remain in full force and effect.
- **22. Amendments:** No amendment to this Contract shall be effective unless it is signed by authorized representatives of both parties and complies with all other regulations and requirements of law.
- 23. Entire Agreement: The parties understand and agree that this Contract and attachments (if any), which includes all Contract Documents, supersede all other verbal and written agreements and negotiations by the parties relating to the services under this Contract.
- **Notice:** Unless otherwise specified in an attachment hereto, any notice hereunder shall be in writing addressed to the persons and addresses indicated in the caption of this Contract on page 1.

IN WITNESS WHEREOF, the parties hereto have caused this AGREEMENT to be executed in two (2) original copies on the day and year first above written.

OWNER:	CONTRACTOR:
Michael Passero Its Mayor	Its Duly Authorized Agent
Approved as to form:	
Jeffrey T. Londregan, Esq., Director of Law	
Date Signed	